

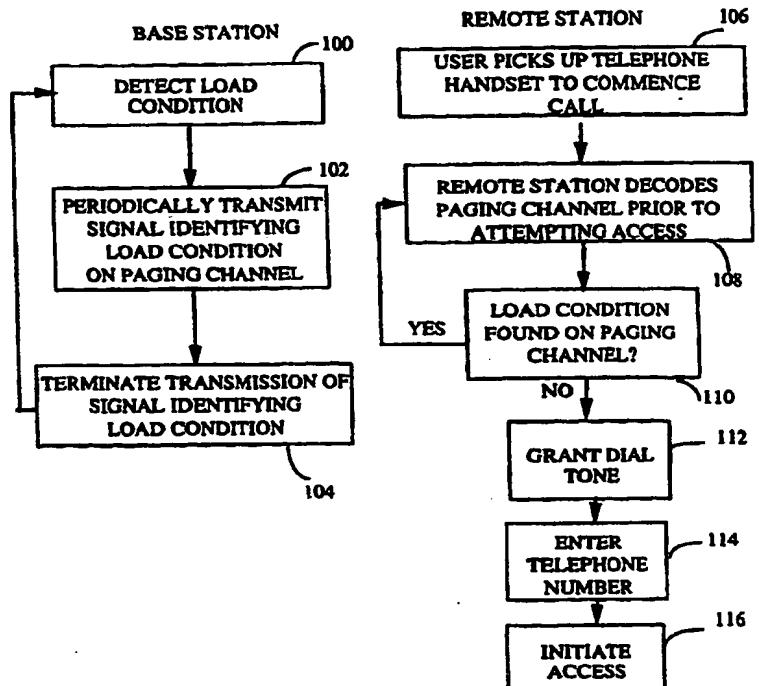
PCT

**WORLD INTELLECTUAL PROPERTY ORGANIZATION**  
**International Bureau**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(S1) International Patent Classification 6 :  H04Q 7/20, 7/32, H04M 19/02		A2	(11) International Publication Number:  WO 97/00585
			(43) International Publication Date:  3 January 1997 (03.01.97)
<p>(21) International Application Number: PCT/US96/10576</p> <p>(22) International Filing Date: 18 June 1996 (18.06.96)</p> <p>(30) Priority Data: 496,421 19 June 1995 (19.06.95) US</p> <p>(71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p> <p>(72) Inventors: KARMI, Gadi; 1220 Cave Street #3, La Jolla, CA 92037 (US). ROBBINS, Barry; 4085 Porte La Paz #179, San Diego, CA 92122 (US).</p> <p>(74) Agent: MILLER, Russell, B.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i></p>	
<p>(54) Title: METHOD AND APPARATUS FOR MANAGING LOAD CONDITIONS IN A LOCAL WIRELESS LOOP SYSTEM</p> <p>(57) Abstract</p> <p>The wireless local loop system employs cellular technology to provide telephone service to fixed telephones of remote stations (20) having otherwise conventional handsets. The remote station (20) emulates a conventional public switched telephone network by providing an artificial dial tone from the handset of the remote station, after the handset is taken off-hook. The artificial dial tone is locally generated (112) by the remote station. The system, however, delays providing the dial tone if the system is busy, i.e. if the system is under a load condition. To this end, the system monitors load levels (20) and transmits signals to the remote stations indicating load conditions. A remote station (20), prior to granting a dial tone to the user, receives the load condition signals, determines whether the system is currently under load (211) and, if so, defers the dial tone (213). Hence, if the system is under a load condition, such that no lines or frequency channels are available, the user is not presented a dial tone until a line or channel becomes available. By deferring the dial tone until a line or channel is available, the wireless local loop system more effectively emulates a PSTN.</p> <pre> graph TD     subgraph BASE_STATION [BASE STATION]         100[DETECT LOAD CONDITION] --&gt; 102[PERIODICALLY TRANSMIT SIGNAL IDENTIFYING LOAD CONDITION ON PAGING CHANNEL]         102 --&gt; 104[TERMINATE TRANSMISSION OF SIGNAL IDENTIFYING LOAD CONDITION]     end      subgraph REMOTE_STATION [REMOTE STATION]         106[USER PICKS UP TELEPHONE HANDSET TO COMMENCE CALL] --&gt; 108[REMOTE STATION DECODES PAGING CHANNEL PRIOR TO ATTEMPTING ACCESS]         108 --&gt; 110{LOAD CONDITION FOUND ON PAGING CHANNEL?}         110 -- YES --&gt; 112[GRANT DIAL TONE]         112 --&gt; 114[ENTER TELEPHONE NUMBER]         114 --&gt; 116[INITIATE ACCESS]         110 -- NO --&gt; 116     end </pre>			



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

**METHOD AND APPARATUS FOR MANAGING LOAD CONDITIONS IN A LOCAL WIRELESS LOOP SYSTEM**

5

**BACKGROUND OF THE INVENTION****I. Field of the Invention.**

The invention generally relates to wireless local loop systems and in particular to a wireless local loop system employing code division multiple access (CDMA) technology.

**II. Description of Related Art.**

Wireless local loop systems provide voice telephone and other telephonic transmission services, such as facsimile, to users that do not have access to public switched telephone network (PSTN) lines. More specifically, the wireless local loop system exploits cellular technology for providing telephone service to fixed, rather than mobile, telephones such as can be found in rural areas or in many emerging third world countries. The user is provided with a telephone handset similar in size, shape and operation to a conventional telephone handset (i.e. one connected to a PSTN). However, rather than being connected to a PSTN line, the telephone handset of the wireless local loop system is connected to, or includes, a wireless transmitter similar to those employed in conventional cellular telephones. In one implementation, the telephone handset is connected by wiring to a fixed wireless transmitter located nearby, perhaps on the roof of a building. More than one telephone handset may be connected to a single wireless transmitter. One or more cellular base stations are installed in the vicinity and signals are transmitted between the base stations and various of the remote wireless transmitters in much the same manner as with conventional cellular signals. In implementations where several handsets are connected to a single wireless transmitter, the wireless transmitter is often referred to as concentrated subscriber system (CSS).

Hence the wireless local loop systems operate in much the same manner as conventional cellular systems but the remote stations, i.e. the handsets and CSS's, are fixed. The general goal of the wireless local loop system is to emulate, with cellular technology, the operation of a PSTN system. Unlike a PSTN system, a typical cellular system does not provide a dial tone to the user. Rather the user enters a phone number, then presses a send button. To more closely emulate a PSTN, it has been proposed that the

handsets of the wireless local loop system be configured to provide an artificial dial tone to the user. In one such proposed implementation, the system provides a dial tone as soon as the handset is taken off-hook. Upon hearing the dial tone, the user dials a number. The handset detects the last 5 number dialed and generates a send signal for accessing the cellular system.

Depending upon the capacity of the local cellular system and upon the amount of usage, the cellular system may be loaded with no lines or frequency channels available for the user. This can occur as a result of, for example, all physical lines within the system or all available frequency 10 channels being busy. When the system has no further line or channel available, it is said to be under a load condition. In any case, the connection will not be completed. This can result in considerable annoyance to the user who, upon hearing a dial tone, is led to believe that a line is available. If the user is attempting to place an emergency call, perhaps to the local police, 15 hospital or fire department, the confusion and delay caused by the erroneous dial tone may have serious consequences.

Systems which grant a dial tone then attempt system access before determining channel or line availability have other problems as well. In a system using CDMA technology, the additional transmissions associated 20 with access attempts by the remote station can cause additional interference that hinders the overall quality of other traffic within the system.

It would be desirable to provide a wireless local loop system, particularly for installation in emerging third world countries, which avoids the potentially dangerous problems noted above and which more properly 25 emulates a PSTN system from the user's perspective. Related problems can occur in other wireless systems under load conditions, even those which do not present a dial tone, including cellular and satellite mobile systems and it would also be desirable to provide improved handling of loads in other wireless or non-wireless signal transmission systems. It is to these ends that 30 the invention is primarily drawn.

## SUMMARY OF THE INVENTION

A wireless local loop system is provided which monitors load levels and transmits signals to the remote stations indicating load conditions. A 35 remote station, prior to granting a dial tone to the user, receives the load condition signals, determines whether the system is currently under load and, if so, defers the dial tone. Hence, if the system is under a load condition, such that no additional lines or frequency channels are available, the user is not presented a dial tone until a line or channel becomes

available. In this manner, the user is not confused or annoyed by hearing a dial tone when no lines or channels are available. Moreover, when implemented within CDMA-based wireless local loop systems, the aforementioned interference occurring as a result of attempts by users to access the system, is avoided.

The invention may be implemented in a wide range of systems and embodiments consistent with the general principles of the invention. In one embodiment, a wireless local loop system is provided which employs cellular CDMA technology where a plurality of transmission frequency bands (referred to hereafter as "frequencies") are available. The determination of load conditions is performed on a frequency by frequency basis. Load information for each frequency is transmitted on a paging channel associated with the respective frequency. The load information identifies whether the frequency is currently loaded. When a remote station is activated by taking the handset off-hook, the remote station sequentially listens to each of the paging channels of the various frequencies until finding an available frequency. Once an available frequency is found, the remote station immediately provides a dial tone and then attempts an access after detecting the last dialed digit. Such actions can result in an immediate return to a load condition if several other remote stations are also awaiting an open frequency. Hence, it may be desirable to further defer grant of the dial tone by a random period of time determined by the remote station. Parameters for randomization based on system usage are transmitted by the base station on the paging channels as well. The parameters are used by the remote station to generate the random period of time. The randomization parameters can be adaptively varied in accordance with actual system usage to minimize any additional delay while also preventing further load conditions.

In another embodiment, the load information transmitted by the base station also identifies whether alternative channels are available which are not under a load condition. The remote station first selects a frequency and decodes its paging channel. If the frequency is loaded and an alternative non-loaded frequency is indicated, the remote station immediately switches to the alternative frequency. If no alternative frequency is available, the remote station waits until one becomes available before granting a dial tone.

In yet another embodiment, each paging channel carries information identifying the current level of load on the respective frequency, even when not under a load condition. The remote station checks each of the paging channels until finding the frequency having the lowest load value, then

grants a dial tone and attempts an access. If all frequencies are fully loaded, the dial tone is delayed and no access is attempted until a frequency becomes available. By selecting the frequency having the lowest load level, system resources are more effectively used. In still another embodiment, each 5 paging channel transmits the load levels of all of the frequencies, thereby obviating the need for the remote station to scan through all frequencies to find the lowest load level. Rather, the remote station selects any frequency, determines the lowest load level therefrom, then immediately proceeds to the associated frequency.

10 Although the invention is particularly well suited for use in wireless local loop systems which provide a dial tone to a user, the invention can also be advantageously exploited in other wireless, or non-wireless, systems as well. For example, within an otherwise conventional cellular system, load condition information of the type described above is used by a remote 15 station to generate local busy signals, rather than requiring such information to be generated by the base station then transmitted to the remote station as presently implemented. Principles of the invention may also be exploited within satellite mobile communication systems.

As can be appreciated, a wide range of embodiments may be 20 configured in accordance with the invention and no attempt is made herein to list or describe all possible embodiments.

### BRIEF DESCRIPTION OF THE DRAWINGS

The features, objects and advantages of the invention will become 25 more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify like elements throughout and wherein:

FIG. 1 is a block diagram illustrating an exemplary embodiment of a wireless local loop system configured in accordance with principles of the 30 invention;

FIG. 2 is a flow chart illustrating a method, implemented by the system of FIG. 1, for processing load condition information wherein a remote station defers granting a dial tone to a user until a load condition is resolved;

35 FIG. 3 is a flow chart illustrating an alternative method to that of FIG. 2 wherein the remote station further defers granting the dial tone for a randomly determined time period following resolution of the load condition;

**FIG. 4** is a flow chart illustrating an another alternative method to that of **FIG. 2** wherein the remote station sequentially checks a plurality of frequencies to determine if a frequency is available;

5      **FIG. 5** is a flow chart illustrating an alternative method to that of **FIG. 4** wherein the base station transmits a signal identifying an alternative non-loaded channel;

10     **FIG. 6** is a flow chart illustrating an alternative method to that of **FIG. 5** wherein the base station transmits load level information, even when not under a load condition, and wherein the remote station scans each of the 10 available frequencies in search of one having the lowest load level;

15     **FIG. 7** is a flow chart illustrating an alternative method to that of **FIG. 6** wherein the base station transmits load level information for all frequencies on all paging channels and wherein the remote station determines the frequency having the lowest level by accessing any paging 15 channel;

**FIG. 8** is a flow chart illustrating a method implemented by the base station of **FIG. 1** for determining whether to assert or de-assert a load condition signal; and

20     **FIG. 9** is a graph illustrating an exemplary load level varying as a function of time.

## DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS

Referring to the Figures, exemplary embodiments of the invention will now be described. It should be kept in mind that the invention can be 25 implemented in other ways than these exemplary embodiments. For example, although the following primarily describes the invention in relation to a wireless local loop system employing CDMA cellular technology, the invention can be implemented in other systems as well.

The invention will primarily be described with reference to block 30 diagrams and flow charts which highlight important features of the invention. For brevity and clarity, numerous implementation details which are not pertinent to the overall concept of the invention are not described. The flow charts will primarily be described in relation to the method or logic steps illustrated therein by individual blocks. However, the individual 35 blocks of each flow chart also represent hardware elements configured for performing the stated method or logic step. Depending upon the implementation, some of the hardware elements may be, for example, either discrete hardware elements such as ASIC's or portions of a programmable general purpose computer.

First an overview of a wireless local loop system will be provided with reference to FIG. 1, then details of how the system of FIG. 1 manages load information will be set forth with reference to the remaining figures.

FIG. 1 illustrates a wireless local loop system 10 having a set of fixed 5 remote stations 12 and a fixed base station 14. Base station 14 is connected to a PSTN 16 (or is otherwise in communication with the PSTN) and to other base stations (not shown) either directly or through other call processing systems such as PSTN 16. Each remote station includes a handset 18 connected, perhaps by a direct physical line, to wireless transmitter and 10 receiver 20 which may be a CSS. Base station 14 communicates with the various remote stations 12 using CDMA cellular technology. The system of FIG. 1 is shown at a very high level. No attempt is made to illustrate all of the various components necessary for actual implementation. Additional 15 information regarding wireless local loop systems and CDMA systems may be found in U.S. Patents 4,901,307 entitled "Spread Spectrum Multiple Access Communication System Using Satellites or Terrestrial Repeaters" and 5,103,459 entitled "System and Method for Generating Signal Waveforms in a CDMA Cellular Telephone System" both of which are assigned to the assignee of the present invention and incorporated by reference herein. 20 Further information may be found in specification IS-95 from the Telecommunication Industry Association (2001 Pennsylvania Avenue, Suite 800, Washington D.C. 20006) entitled "Mobile Station-Base Station Compatibility Standard for Dual Mode Wideband Spread Spectrum Cellular System" which is also incorporated by reference.

25 Briefly, base station 14 transmits signals to remote stations 12 via a forward or downlink on one of ten CDMA frequencies each covering, for example, 1.23 MHz of radio frequency bandwidth. Each CDMA frequency carries a pilot channel, a sync channel, and a set of paging and traffic channels. The pilot channel are used as timing and frequency reference 30 signals. The sync channel carries signals necessary for system timing and protocol compatibility. The paging channels carry signals to indicate that the base station is attempting to contact one of the particular remote stations. The traffic channels carry traffic specific to one telephone call such as a voice call or a facsimile message. The pilot, sync and paging channels are 35 broadcast channels monitored by all remote stations that are in operation. The traffic channels are monitored only by the particular remote stations involved in the specific telephone call. The remote stations transmit signals to the base station via a reverse link (also known as "uplink") having an access channel and a set of traffic channels.

System 10 is configured to emulate a PSTN system from the user's perspective. Each handset 18 is configured to have the same size, shape and operational feel of a conventional telephone connected to the PSTN. In a PSTN system, when a user takes a telephone handset off-hook, the system provides a dial tone to the user through the handset if a line is available. No dial tone is provided unless and until a line becomes available. Likewise, in the system of FIG. 1, remote stations 12 are configured to provide a dial tone to the user upon taking the handset off-hook if a line is available. In the context of the CDMA system of FIG. 1, "line availability" means that a code channel or frequency channel necessary to place a call is available. If no such line is available, the system is said to be under a load condition. Hence, to properly emulate a PSTN from the user's perspective, remote stations 12 do not provide a dial tone unless and until any load condition is resolved, i.e. a "line" becomes available. Once a dial tone is presented, the user enters a desired telephone number and the remote transmits a signal to the base station on the access channel to commence the telephone call.

In the embodiment herein described, base station 14 determines whether the system is subject to a load condition and transmits information on the paging channel to remote stations 12 which delay providing a dial tone when the respective handset 18 is taken-off-hook if the system is under a load condition. Various methods by which the base station detects load conditions and by which the remote stations respond to the load conditions will now be described with reference to the remaining figures.

FIG. 2 illustrates a simplified single frequency embodiment. The operations of the base station are shown in tandem with those of the remote station. However, it should be understood that these devices operate independently and there is not necessarily any direct correspondence between the operations of the base station and those of the remote station. Initially, the base station detects a load condition at step 100. A variety of parameters may be employed to provide a quantitative determination of whether the system is under a load condition. In one embodiment, the total number of bits per second transmitted and received by the base station is tracked. A load condition is deemed to occur if the number of bits per second exceeds some predetermined threshold. The threshold may be adaptively varied as needed. In another embodiment, the total power transmitted by the base station is compared against a power threshold. The use of total power is a particularly convenient basis for determining load conditions, in part because the overall system includes a power control

feedback loop for regulating transmitted power. Hence, the total amount of power is already determined within the power control feedback loop and the base station need only access the power value and compare it against a predetermined threshold.

5       Once the base station determines that the system is under a load condition, the base station broadcasts a signal within the paging channel indicating same, at step 102. The signal may take the form of a unique sequence of bits within a dedicated field within the paging channel. As can be appreciated, because all remote stations are not decoding the paging  
10      channel at all times, the load condition signal should be frequently transmitted. Preferably, the signal is repeated periodically along with all other information transmitted on the paging channel. Sometime thereafter, the base station detects that the load condition has terminated or has been otherwise resolved and terminates transmission of the signal identifying the  
15      load condition on the paging channel. Hence, the lack of a load condition is identified or represented by the lack of the load condition signal. In another embodiment, the base station transmits a unique signal that positively indicates that there is no load condition. For example, the signal indicating a load condition may comprise one set of binary symbols, whereas  
20      the signal indicating the absence of a load condition may comprises a different set of binary symbols. Alternatively, the system may transmit a positive signal only while the system is not loaded, and transmits no signal if it is loaded. In any case, the sequence of steps performed by the base station are repeated sequentially at all times while the base station is in  
25      operation--typically twenty-four hours a day.

At any time during the cycle of steps performed by the base station, a user may take the handset off-hook in one of the remote stations as shown in step 106. The remote station detects the off-hook condition and immediately decodes the paging channel, step 108, to determine whether the  
30      system is under a load condition. If at step 110, the remote station detects the load condition signal on the paging channel, execution returns to step 108 and continues in a loop until the load condition signal is terminated (at step 104 of the base station operation.) Thereafter, execution proceeds to step 112 where the remote station provides an artificial dial tone to the user. Upon  
35      hearing the dial tone, the user enters a desired telephone number at 114 and the remote station initiates system access at step 116 to place the telephone call. Access is performed by transmitting appropriate information on the access channel in accordance with conventional techniques which will not be further described.

Hence, the remote station defers providing a dial tone unless and until the load condition terminates. Depending upon the capacity of the wireless local loop system and the amount of usage, the dial tone may be delayed, if at all, fairly briefly or perhaps for a longer duration. As noted 5 above, wireless local loop systems may find greatest usage in developing third world countries where local PSTN services is limited. Users in such countries often encounter delays in receiving a dial tone through the PSTN. Hence any delays encountered through the wireless local loop system will not likely be regarded as unusual or significantly disadvantages.

10 By deferring the dial tone, potential confusion or annoyance is avoided that could otherwise result if the user is presented with a dial tone when the system is loaded. Moreover, by deferring the dial tone, the remote station also defers transmission of signals on the access channel until the load condition is over. Thus, CDMA interference problems of the type 15 discussed above are also avoided. Indeed, by eliminating additional access signals for calls that cannot be accommodated, the system can effectively increase its overall capacity, perhaps allowing more traffic channels. Also, more efficient usage is made of the base station which need not devote resources to processing access channel signals for calls that cannot be 20 accommodated.

In the system of FIG. 2, a call access is attempted immediately after the load condition is resolved. This, however, can result in a new load condition immediately occurring as a result of numerous remote stations each attempting an access once the previous load condition is resolved. FIG. 25 3 illustrates an alternative embodiment wherein each remote station further delays system access for a different randomly determined period of time following resolution of the load condition. Hence, if several remote stations need access following resolution of the load condition, each will likely attempt access at different times, thereby diminishing the possibility of a 30 new load condition. Many of the steps of FIG. 3 correspond to those of FIG. 2 and, for brevity, the description of these steps is not repeated. Corresponding steps are identified by corresponding references numerals incremented by one hundred.

Within FIG. 3, after the system emerges from a load condition, at step 35 210, the remote station detects same, at step 211, and execution proceeds to step 213 where the remote station waits a randomly determined amount of time. The time period may be determined, for example, by generating a random number between 0 and t where t is a predetermined randomization window time period, such as 1 second or 10 seconds. The value of t can

transmitted over the paging channel from the base station. After step 213, the remote station decodes the paging channel to ensure that no new load condition has occurred. If not, execution proceeds through step 211 to step 214 where a dial tone is provided to the user. If a second load condition is 5 detected at step 210, execution proceeds in a loop defined by steps 208 and 210 until the load condition is resolved. The remote station then waits another random time period before again polling the paging channel. The randomization time period  $t$  is selected such that it is highly unlikely that a second load condition will occur immediately following the first and at the 10 same time, user access to the system is not delayed unnecessarily. Such may be achieved by providing randomization over a sufficiently long time period, i.e. by selecting an appropriately large value for  $t$ . The value for  $t$  may be adaptively varied in accordance with previous system history to minimize the average amount of additional delay occurring at step 213 15 while also substantially preventing further immediate load conditions. A variety of conventional adaptive filters may be employed by the base station to vary the value of  $t$  which, as noted above, is broadcast over the paging channel such that each remote station has access to the same value.

Actual generation of the random value within the time window 20 defined by  $t$  for use in step 213 is performed by the remote station. By "random", it is meant that any random or pseudo-random value may be used. Indeed, even non-random values can be employed, consistent with the invention, so long that further access attempts by the various remote stations following a load condition are usually staggered in time. A wide 25 range of other techniques can be implemented to achieve the same or similar results.

Thus far, the systems discussed are systems, such as single frequency CDMA systems, where the system is either loaded or not. FIGS. 4 - 6 illustrate systems employing multiple frequencies wherein a load condition 30 can occur on one frequency, while others remain free for further transmissions.

FIG. 4 illustrates a system wherein a set of separate CDMA frequencies are employed each having a unique paging channel. The base station detects whether the selected frequency is subject to a load condition, at step 301. If 35 so, a signal indicating the load condition is transmitted on the paging channel associated with the selected frequency, at step 302. If the frequency is no longer loaded, transmission of the load condition signal is terminated, at step 303. As before, the presence or absence of a load condition can be signaled in a variety of ways. The loop defined by steps 301 - 303 is repeated

continuously thereby periodically updating the load condition signals for all frequencies.

The operation of the remote station will now be described. After a user takes the handset off-hook, at step 306, the remote station selects one of the frequencies, step 307, then determines whether the selected frequency is subject to a load condition, steps 308 and 310. If so, the remote station selects another frequency, step 311, and repeats steps 308 and 310 for the new frequency. The remote station continues to select new frequencies until one is found that is not subject to a load condition. If each frequency is loaded, the remote station simply cycles through the frequencies again and again until one becomes available. The remote station then grants a dial tone and proceeds as in FIG. 2. Although not shown in FIG. 4, the remote station may also implement the randomization process described with reference to FIG. 3.

Thus in the system of FIG. 4, each paging signal identifies whether the associated frequency is loaded and the remote station sequentially decodes the various paging channels until a frequency is found which is not loaded. In the system of FIG. 5, each paging channel also carries information identifying other available, i.e. non-loaded, frequencies. More specifically, the base station executes steps 400 - 402 for each frequency to determine which, if any, are loaded. Thereafter, at step 404, the base station transmits a signal on the paging channel of each loaded frequency indicating that the frequency is loaded and identifying an alternative channel, if one is available. As frequencies become available, the base station terminates the transmission of the load indication signals on the previously loaded frequencies, step 405. As can be appreciated, some frequencies can become loaded as others become unloaded. The base station tracks the load status of each of the frequencies and initiates or terminates the load indication signals and the alternative channel signals accordingly.

Upon detection of an off-hook condition, at step 406, the remote station selects one of the frequencies and determines whether it is loaded, at steps 407 and 408. If it is not loaded, a dial tone is granted and a call initiated as before, steps 412 - 416. If however, the selected frequency is loaded, the remote station decodes the paging channel to determine if an alternative frequency is available, at step 410, and if so the remote station selects the alternative frequency, at step 411. If no alternative frequency is available, then the remote station cycles through steps 408 - 410 until a non-loaded frequency is selected or a suitable alternate frequency is identified.

Thus FIGS. 2 - 5 illustrates embodiments wherein the base station transmits information regarding load conditions, i.e. frequencies which cannot handle additional traffic, to the remote stations. FIGS. 6 and 7 illustrate embodiments wherein the base station transmits load level 5 information even when frequencies are not fully loaded, such that the remote stations can select the least busy frequency.

In FIG. 6, at step 500, the base station selects a frequency, then determines the load value or load level on the selected frequency, at step 502. The load value is a quantity representing the amount of traffic on the 10 channel and may represent, for example, the total power transmitted on the frequency or the bits per second of information transmitted. Other quantitative values may alternatively be employed. The load value may be scaled, such as from 0 to 100, with 100 indicating a fully loaded frequency and 0 representing a frequency carrying no traffic. At step 504, the base station 15 transmits a signal representative of the load value on the paging channel of the selected frequency. The signal may be a binary encoded representation of the load value.

Once a user takes the handset of the remote station off-hook, at step 506, the remote station selects a frequency, step 508, then decodes the paging 20 channel of the selected frequency and reads the load value information carried thereon. The remote station stores the load value then cycles through the remaining frequencies (by executing steps 508, 509 and 510 repeatedly) while storing the relative load values for each of the frequencies. At step 511, the remote station accesses the stored values then selects the 25 frequency having the lowest load value and proceeds with granting a dial tone and attempting an access as before (steps 512, 514 and 516).

In this manner, the remote station determines the least loaded frequency and attempts an access thereon. This helps prevent load 30 conditions from occurring. Although not shown in FIG. 6, logic similar to that of FIGS. 2 - 5 can be employed to defer granting a dial tone if all of the frequencies are fully loaded. Furthermore, randomization techniques, such as described with reference to FIG. 3, can be employed to prevent remote stations from all attempting to immediately access a newly available frequency which could otherwise create a new load condition.

35 In FIG. 6, each frequency carries load value information only for that frequency and the remote station must poll all frequencies before determining which has the lowest load value. In the system of FIG. 7, each paging channel carries the relative load values for all frequencies, thereby allowing the remote stations to determine the lowest load value by polling a

signal paging channel. The steps of FIG. 7 are similar to those of FIG. 6 and only pertinent differences will be described. Whereas, the system of FIG. 6 transmits a load value on a selected frequency, then repeats with a different frequency, the system of FIG. 7 determines all load values before 5 transmitting all values on all frequencies (steps 600 - 604). The remote station, upon the detection of a off-hook condition, selects one channel, reads the load value information for all frequencies from the paging channel of that frequency, determines which frequency is the least loaded, then attempts an access thereon (steps 606 - 616).

10 In yet another embodiments, not shown, the base station simply transmits (on each paging channel) the identity of the alternative frequency, if any, having the lowest load value. The remote station selects any frequency, reads the alternative frequency therefrom, and proceeds to access the alternative frequency. Hence, each paging channel need not carry the 15 load values of all other frequencies.

Hence, FIGS. 2 - 7 illustrate various methods for processing load 20 information. With reference to FIGS. 8 and 9, a method by which the base station determines load conditions will be described. The method is designed to avoid hysteresis problems from occurring during the determination of a load condition.

As noted above, a load condition can be determined by calculating a quantitative value for the load, perhaps total power or total bits per second, then comparing the calculated value against a threshold value. A problem, however, can occur if the calculated value deviates slightly near the 25 threshold value causing the system to rapidly switch back and forth between load and non-load conditions. In the system of FIG. 8 and 9, upper and lower threshold values are pre-selected. A load condition is only deemed to occur if the calculated load value passes from below the lower threshold value to above the upper threshold value or vice versa. Hence, the upper and lower values define a hysteresis zone.

In FIG. 8, at step 700, the load value is initially set to zero (or some other suitable starting value). The hysteresis zone is defined, at step 702, by selecting upper and lower threshold values. At step 704, the system tracks the load value. At 706, if the load value passes from below the lower threshold to above the upper threshold, a load condition is declared and appropriate action is taken (such as described above with reference to FIGS. 2 - 7). At step 708, if the load value passes from above the upper load threshold to below the lower threshold, the load condition is set to "off" and appropriate action is taken. Steps 704 - 708 are repeated periodically during

operation of the system. In the embodiment described, the steps of FIG. 8 are performed by the base station. In other embodiments, at least some of the steps may be performed by the remote stations. For example, the base station may merely transmit the load value, leaving the remote stations to perform 5 the hysteresis check. Also, the upper and lower threshold values may be adaptively varied during operation of the system.

FIG. 9 graphically illustrates an exemplary load value 800 varying with time. Using the method of FIG. 8, a load condition is deemed to begin at time 802, then terminate at time 804. A second load condition is deemed 10 to begin at time 806. Note that passage of the load value through the upper threshold, at times 808, 810, and 812 is not sufficient cause the system to change the load condition status. In FIG. 9, the difference between the upper and lower threshold values is exaggerated to more clearly illustrate the basic concept. In practice, the upper and lower threshold values may differ only 15 slightly, depending upon overall system behavior.

What has been described in a system for managing load condition information and other load information within a wireless local loop system. In most embodiments specifically described, the grant of a dial tone to a remote station user is deferred until a load condition is terminated or until a 20 frequency is found which is not subject to a load condition. However, in other embodiments, other steps may be taken in response to the determination of load conditions. Likewise, although the invention is primarily described with reference to a wireless local loop system employing CDMA technology, principles of the invention are applicable to other 25 systems as well, particularly other cellular systems.

In general, the exemplary embodiments of the invention are merely illustrative of general principles of the invention which can be applied to other applications, to achieve other ends and to remedy other problems not specified herein.

**CLAIMS**

1. A method for handling load conditions within a wireless signal transmission system having a base station and a remote station, said method comprising:
  - 4 determining whether a load condition exists; and
  - transmitting information indicating whether a load condition exists
  - 6 from the base station to the remote station.
2. The method of claim 1, wherein, in response to receiving information indicating that a load condition exists,  
the remote station delays any attempt to access the system.
3. The method of claim 1, wherein the remote station provides a dial tone to a user upon taking a handset of the remote station off-hook, and wherein,
  - 4 in response to receiving information indicating that a load condition exists, the remote station delays providing the dial tone to the user.
4. The method of claim 1, wherein, upon detection of a load condition, said step of transmitting information indicating whether a load condition exists from the base station to the remote station comprises the steps of:
  - 4 first transmitting information indicating that a load condition exists; and, then
  - 6 transmitting information indicative of a termination of the load condition, once a load condition is no longer occurring.
5. The method of claim 4, wherein the remote station attempts to access the system after a randomly determined period of time following receipt of said information indicative of termination of said load condition.
6. The method of claim 5, wherein said randomly determined period of time is determined to be within a pre-selected range of time.
7. The method of claim 6, wherein said pre-selected range of time is adaptively varied in length.
8. The method of claim 1, wherein the base station transmits a paging signal and wherein said information indicative of whether a load condition exists is transmitted on said paging signal.

9. The method of claim 1, wherein the system operates on a plurality of  
2 frequencies and wherein said step of detecting whether a load condition is  
present comprises the step of maintaining information on the load  
4 condition status of two or more of said frequencies.

10. The method of claim 9 wherein said step of maintaining information  
2 on the load condition status of two or more frequencies comprises the steps  
of  
4 selecting a frequency;  
detecting whether a load condition exists on said frequency;  
6 selecting an alternative frequency and detecting whether a load  
condition exists on said alternative frequency, if said load condition exists on  
8 said frequency; and  
repeating until a non-loaded frequency.

11. The method of claim 9, wherein said step of transmitting information  
2 indicative of a load condition also transmits information identifying at least  
one frequency, if any, not under a load condition.

12. The method of claim 1 wherein said step of detecting whether a load  
2 condition exists comprises the steps of:  
setting upper and lower load condition values;  
4 calculating a value representative of the load level; and  
identifying a load condition as beginning when said value passes  
6 from below said lower value to above said upper value; and  
identifying a load as terminating when said value passes from above  
8 said upper value to below said lower value.

13. A method for handling load conditions within a wireless signal  
2 transmission system having a base station and a remote station, said method  
comprising the steps of:  
determining the load level of the system; and  
transmitting information indicating the load level from the base  
6 station to the remote station.

14. The method of claim 13, wherein the base station transmits a paging  
2 signal and wherein said information indicative of the load level is  
transmitted on said paging signal.

15. The method of claim 13, wherein the system operates on a plurality of frequencies and wherein said step of detecting the load level comprises the step of maintaining information on each load level of said plurality of said frequencies.

16. The method of claim 15, wherein said step of transmitting information indicative of the load level also transmits information identifying at least one frequency, if any, having a lower load level.

17. An apparatus for handling load conditions within a wireless signal transmission system having a base station and a remote station, said apparatus comprising:

means for determining whether a load condition exists; and  
means for transmitting information indicating whether a load condition exists from the base station to the remote station.

18. The apparatus of claim 17, wherein, the remote station includes means, responsive to receiving information indicating that a load condition exists, for delaying any attempt to access the system.

19. The apparatus of claim 17, wherein the remote station comprises:  
means for providing a dial tone to a user upon taking a handset of the remote station off-hook; and  
means, responsive to receiving information indicating that a load condition exists, for delaying providing the dial tone to the user.

20. The apparatus of claim 17, wherein said means for transmitting information indicating whether a load condition exists from the base station to the remote station comprises:

means for transmitting information indicative of a termination of the load condition.

21. The apparatus of claim 19, wherein means for delaying providing a dial tone to the user further delays said dial tone until after a randomly determined period of time following receipt of said information indicative of termination of said load condition.

22. The apparatus of claim 21, wherein said randomly determined period  
2 of time is determined to be within a pre-selected range of time.
23. The apparatus of claim 22, wherein said pre-selected range of time is  
2 adaptively varied in length.
24. The apparatus of claim 17, wherein the base station includes means  
2 for transmitting a paging signal and wherein said information indicative of  
whether a load condition exists is transmitted on said paging signal.
25. The apparatus of claim 17, wherein the system operates on a plurality  
2 of frequencies and wherein said means for detecting whether a load  
condition is present includes means for maintaining information on the  
4 load condition status of two or more of said frequencies.
26. The apparatus of claim 25 wherein said means for maintaining  
2 information on the load condition status of two or more frequencies  
comprises means for  
4 selecting a frequency;  
detecting whether a load condition exists on said frequency;  
6 if so, selecting an alternative frequency and detecting whether a load  
condition exists on that frequency; and  
8 repeating until a frequency is found without a load condition.
27. The apparatus of claim 25, wherein said means for transmitting  
2 information indicative of a load condition also transmits information  
identifying at least one frequency, if any, not under a load condition.
28. The apparatus of claim 17 wherein said means for detecting whether a  
2 load condition exists comprises means for  
setting upper and lower load condition values;  
4 calculating a value representative of the load level;  
identifying a load condition as beginning when said value passes  
6 from below said lower value to above said upper value; and  
8 identifying a load as terminating when said value passes from above  
said upper value to below said lower value.

29. An apparatus for handling load conditions within a wireless signal  
2 transmission system having a base station and a remote station, said  
apparatus comprising:

4 means for determining the load level of the system; and  
means for transmitting information indicating the load level from  
6 the base station to the remote station.  
system.

30. The apparatus of claim 29, wherein the base station includes means  
2 for transmitting a paging signal and wherein said information indicative of  
the load level is transmitted on said paging signal.

31. The apparatus of claim 29, wherein the system operates on a plurality  
2 of frequencies and wherein said means for detecting the load level includes  
means for maintaining information on the load levels of two or more of  
4 said frequencies.

32. The apparatus of claim 31, wherein said means for transmitting  
2 information indicative of the load level also transmits information  
identifying at least one frequency, if any, having a lower load level.

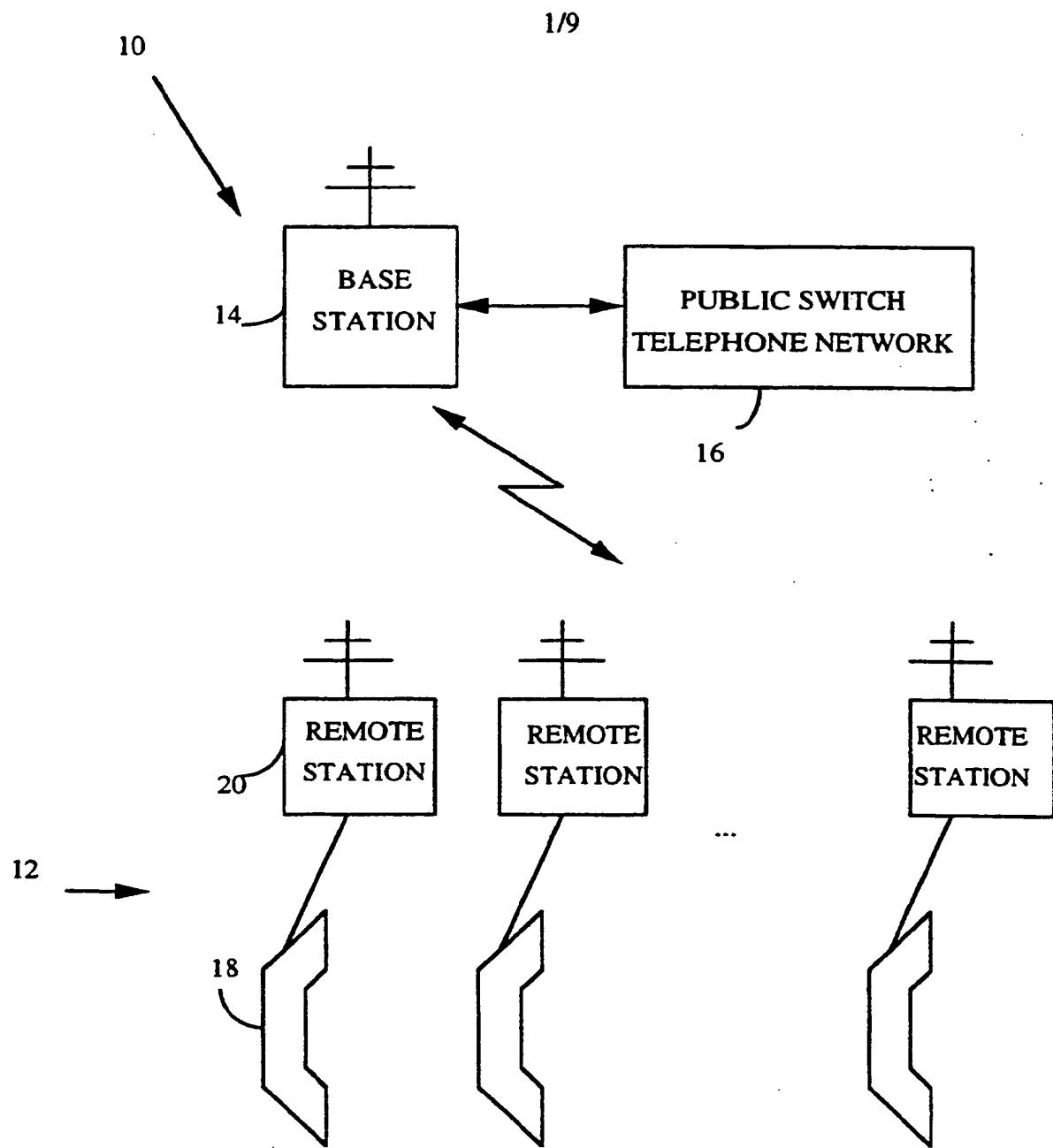


FIG. 1

2/9

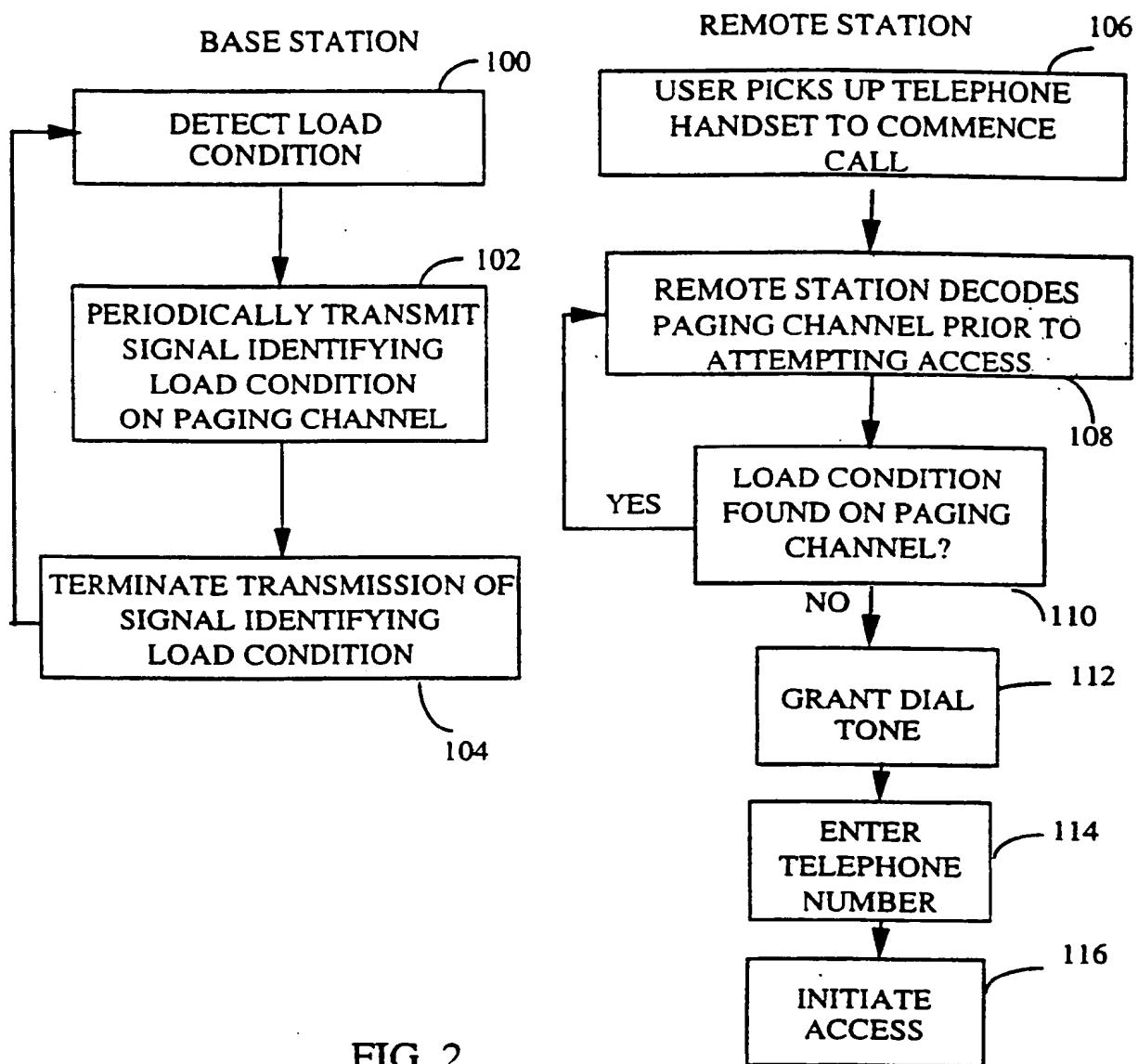


FIG. 2

3/9

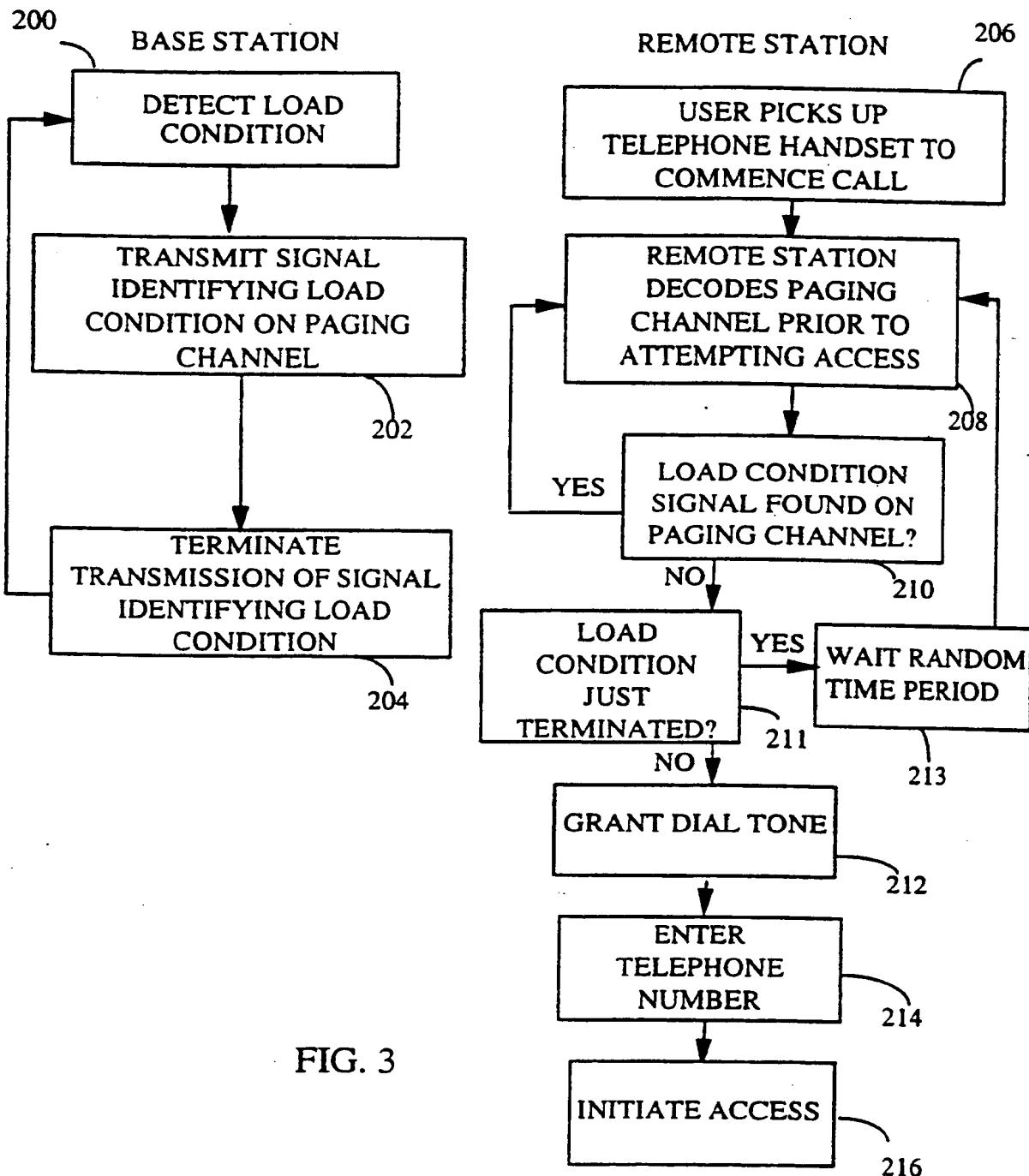


FIG. 3

4/9

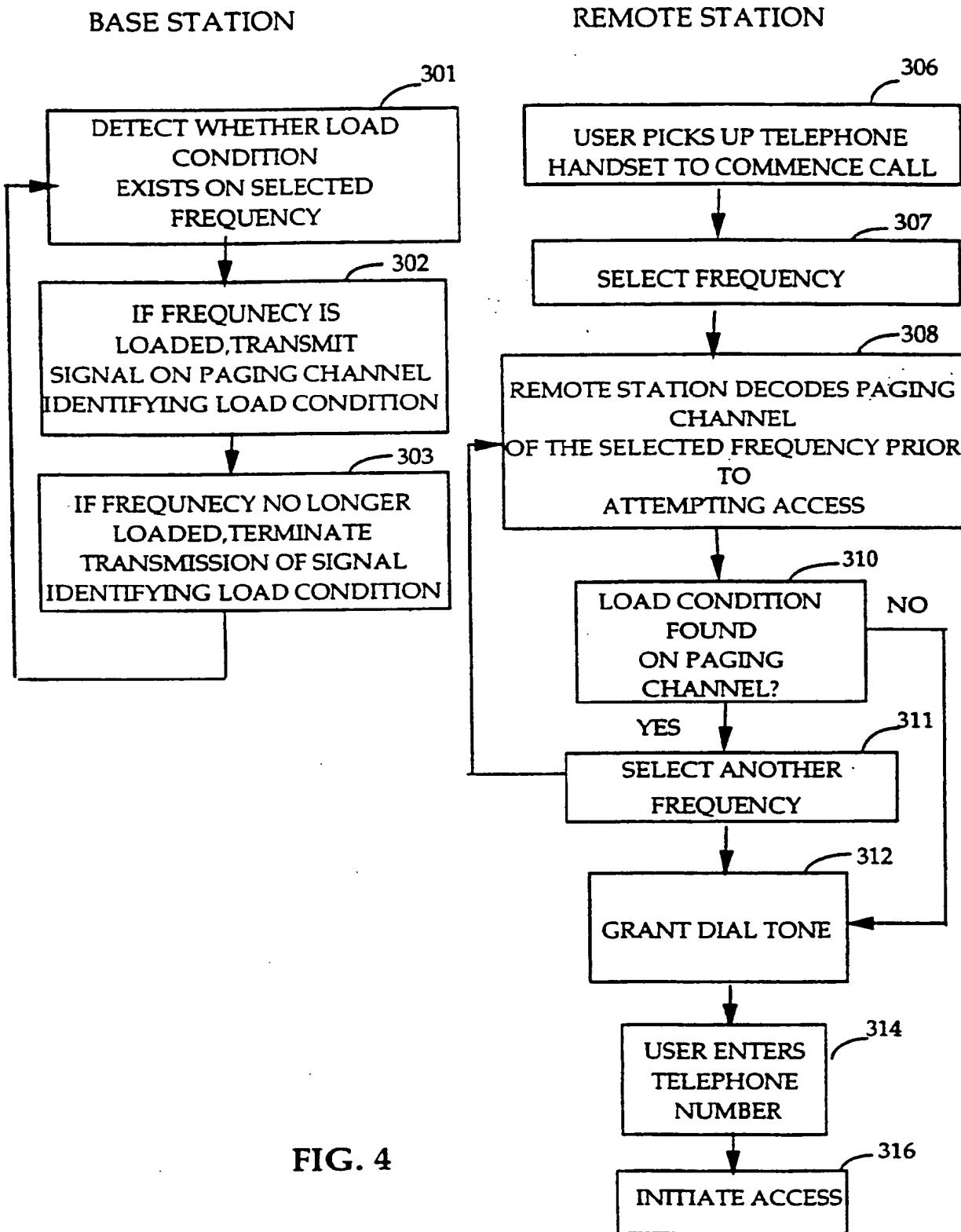


FIG. 4

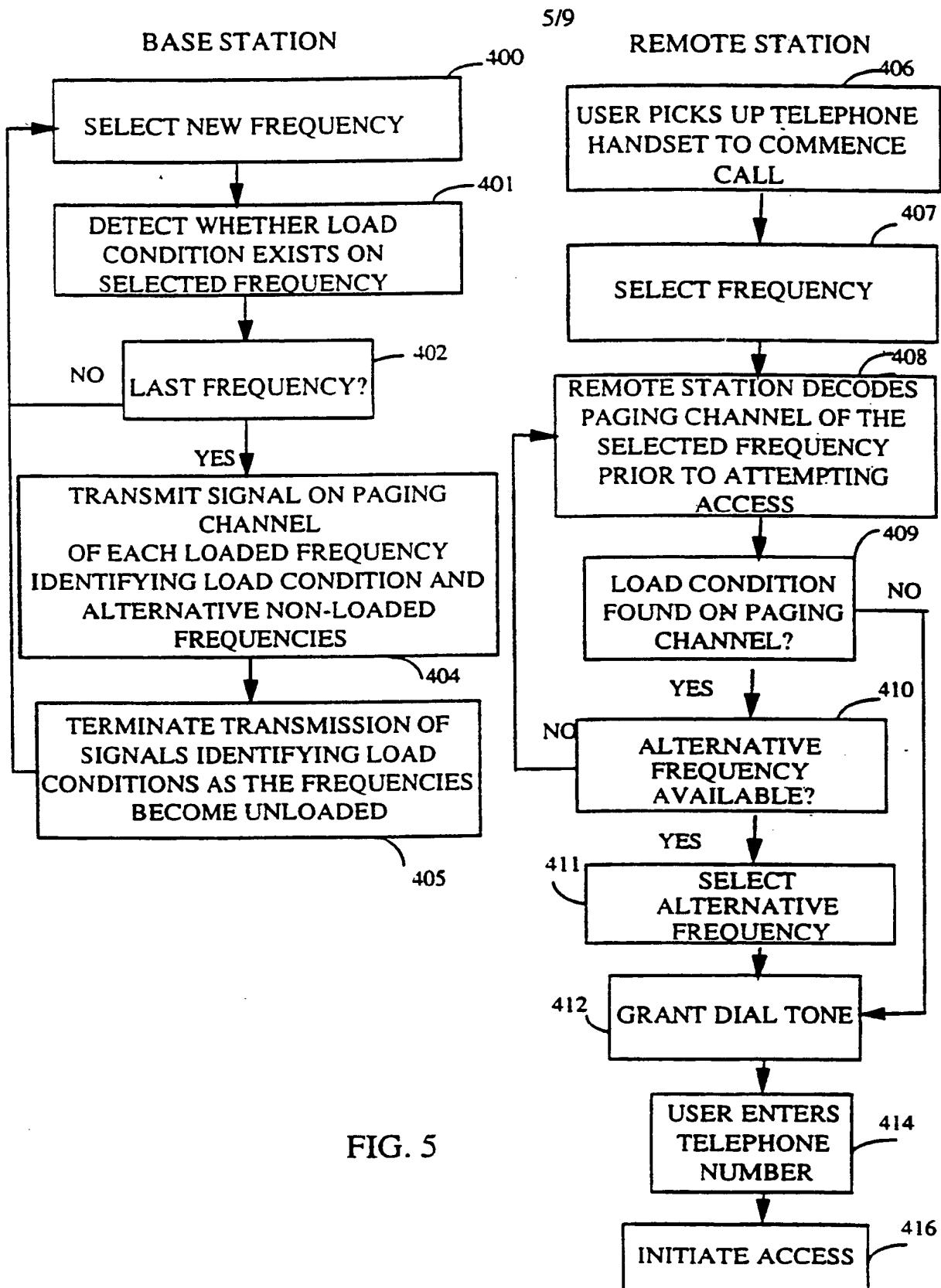


FIG. 5

6/9

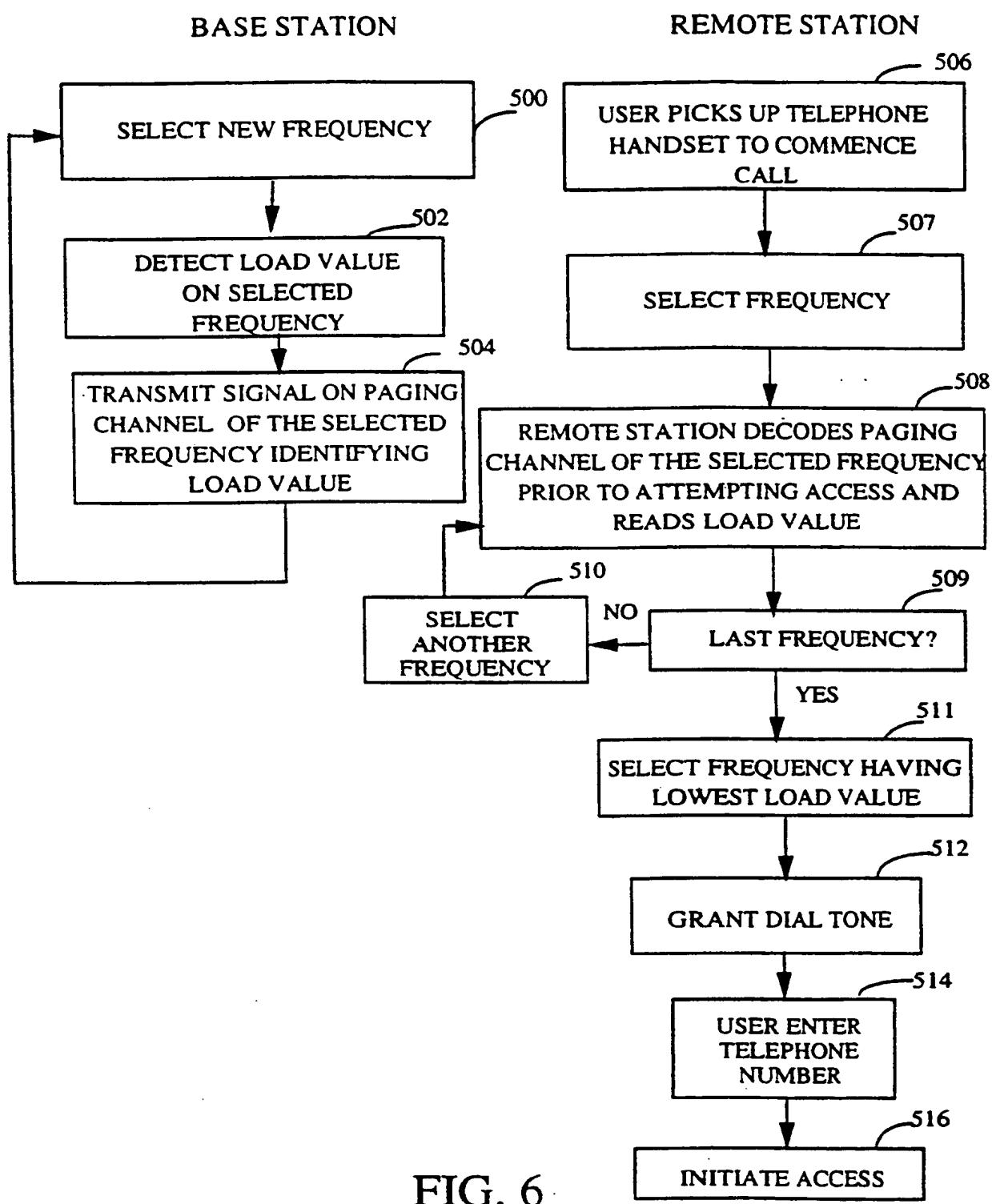


FIG. 6

7/9

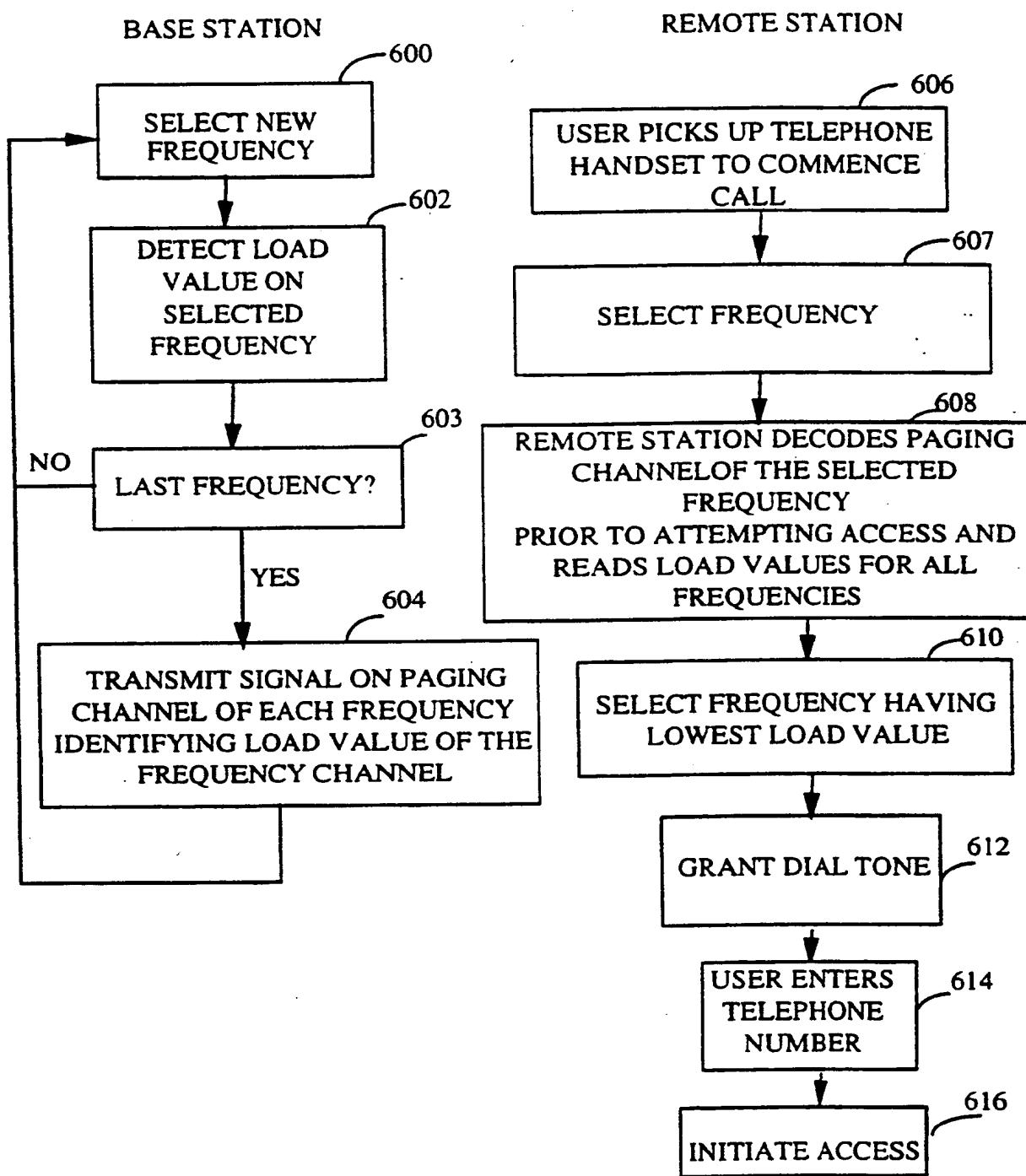


FIG. 7

8/9

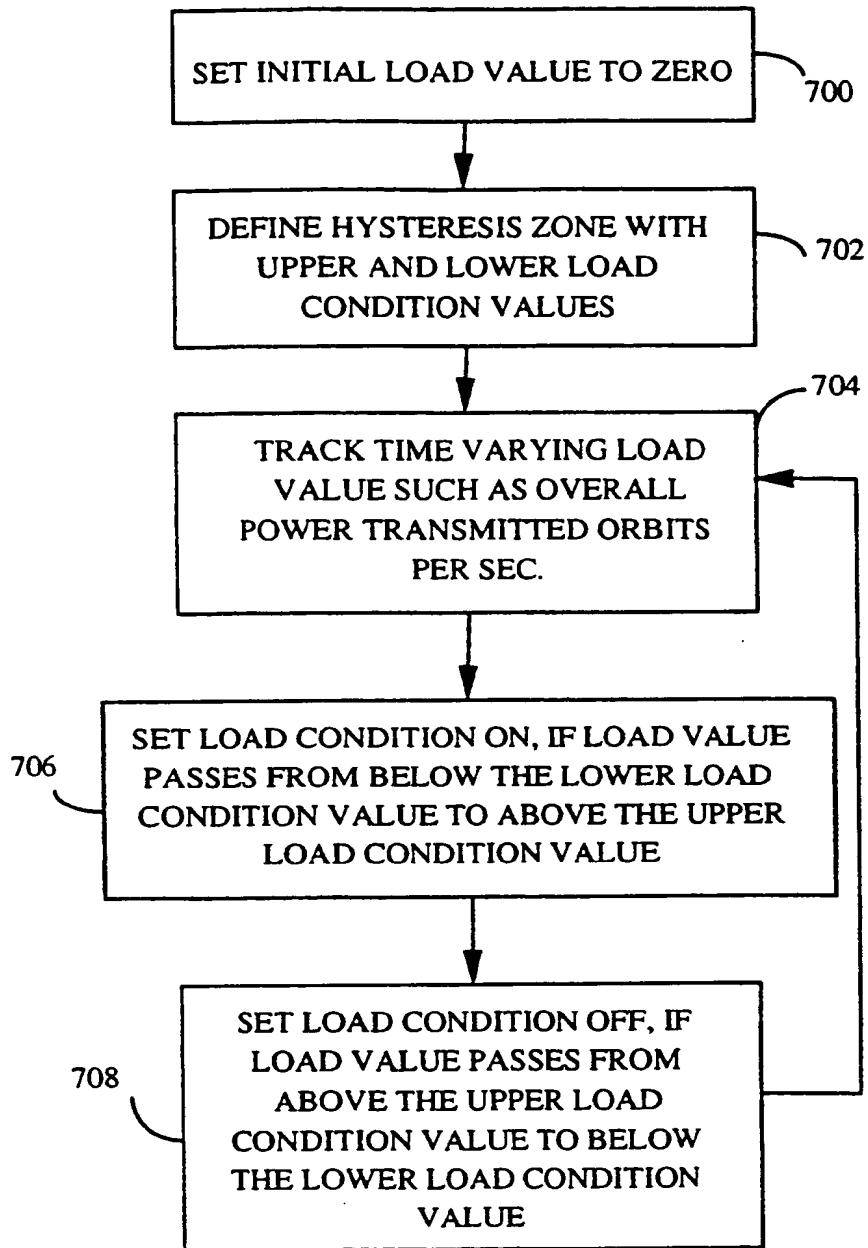


FIG. 8

9/9

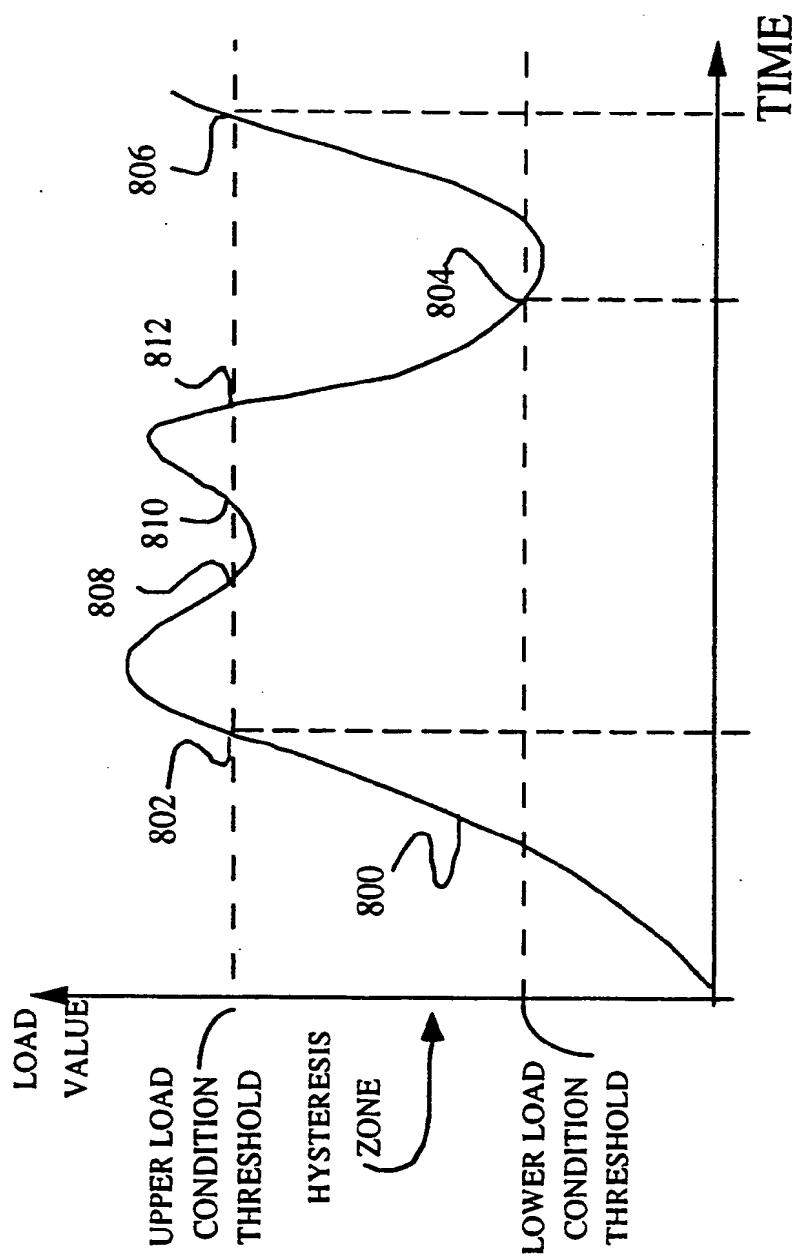


FIG. 9



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :	A3	(11) International Publication Number:	WO 97/00585
H04Q 7/38		(43) International Publication Date:	3 January 1997 (03.01.97)
(21) International Application Number:	PCT/US96/10576	(81) Designated States:	AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TI, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date:	18 June 1996 (18.06.96)	(28) Priority Data:	
(30) Priority Data:	496,421 19 June 1995 (19.06.95) US	(71) Applicant:	QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US).
(72) Inventors:	KARMI, Gadi; 1220 Cave Street #3, La Jolla, CA 92037 (US). ROBBINS, Barry; 4085 Porte La Paz #179, San Diego, CA 92122 (US).	(74) Agent:	MILLER, Russell, B.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).
(54) Title:	METHOD AND APPARATUS FOR MANAGING LOAD CONDITIONS IN A LOCAL WIRELESS LOOP SYSTEM		
(57) Abstract			
The wireless local loop system employs cellular technology to provide telephone service to fixed telephones of remote stations (20) having otherwise conventional handsets. The remote station (20) emulates a conventional public switched telephone network by providing an artificial dial tone from the handset of the remote station, after the handset is taken off-hook. The artificial dial tone is locally generated (112) by the remote station. The system, however, delays providing the dial tone if the system is busy, i.e. if the system is under a load condition. To this end, the system monitors load levels (20) and transmits signals to the remote stations indicating load conditions. A remote station (20), prior to granting a dial tone to the user, receives the load condition signals, determines whether the system is currently under load (211) and, if so, defers the dial tone (213). Hence, if the system is under a load condition, such that no lines or frequency channels are available, the user is not presented a dial tone until a line or channel becomes available. By deferring the dial tone until a line or channel is available, the wireless local loop system more effectively emulates a PSTN.			
<pre> graph TD     subgraph BASE_STATION [BASE STATION]         100[DETECT LOAD CONDITION] --&gt; 102[PERIODICALLY TRANSMIT SIGNAL IDENTIFYING LOAD CONDITION ON PAGING CHANNEL]         102 --&gt; 104[TERMINATE TRANSMISSION OF SIGNAL IDENTIFYING LOAD CONDITION]     end     subgraph REMOTE_STATION [REMOTE STATION]         106[USER PICKS UP TELEPHONE HANDSET TO COMMENCE CALL] --&gt; 108[REMOTE STATION DECODES PAGING CHANNEL PRIOR TO ATTEMPTING ACCESS]         108 -- YES --&gt; 109[LOAD CONDITION FOUND ON PAGING CHANNEL?]         109 -- NO --&gt; 110[GRANT DIAL TONE]         110 --&gt; 111[ENTER TELEPHONE NUMBER]         111 --&gt; 112[INITIATE ACCESS]     end     104 --&gt; 109 </pre>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

# INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 96/10576

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 220 820 A (MALLIEN THOMAS O II) 2 September 1980  see column 6, line 51 - column 7, line 6 ---	1-3, 9-11,13, 17-19,29
X	US 4 628 152 A (AKERBERG DAG E) 9 December 1986  see column 4, line 37 - column 7, line 39 ---	1-3,13, 17-19
X	US 4 953 198 A (DALY JOHN J ET AL) 28 August 1990  see column 5, line 35 - column 7, line 57 see column 9, line 6 - line 12; figure 3 --- -/-	1-3,13, 17-19,29

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

1

Date of the actual completion of the international search  20 December 1996	Date of mailing of the international search report  09.01.97
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax (+ 31-70) 340-3016	Authorized officer  Blaas, D-L

Form PCT/ISA/210 (second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 96/10576

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 028 500 A (MCCLURE GEORGE F ET AL) 7 June 1977  see column 8, line 24 - column 10, line 31 see column 22, line 9 - column 23, line 59; examples 2,3 ---	1-11,13, 17,18, 20-23, 25,27,29
A	US 5 353 331 A (EMERY MARK J ET AL) 4 October 1994 see column 15, line 53 - column 18, line 6; example 3 ---	1,13
A	PATENT ABSTRACTS OF JAPAN vol. 013, no. 481 (E-838), 31 October 1989 & JP 01 189240 A (NEC CORP; OTHERS: 01), 28 July 1989, see abstract -----	1,13,17, 29

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inte	onal Application No
PCT/US 96/10576	

Patent document cited in search report	Publication date	Patent family member(s)			Publication date
US-A-4220820	02-09-80	NONE			
US-A-4628152	09-12-86	SE-B- 430013 AU-B- 554696 CA-A- 1197031 EP-A- 0098836 SE-A- 8107663 WO-A- 8302380		10-10-83 28-08-86 19-11-85 25-01-84 22-06-83 07-07-83	
US-A-4953198	28-08-90	NONE			
US-A-4028500	07-06-77	US-A- 3898390 CA-A- 1037564 CA-A- 1025055 CA-A- 1056918 CH-A- 581415 DE-A- 2423603 DE-A- 2462438 FR-A- 2240584 FR-A- 2299768 GB-A- 1472213 GB-A- 1472212 NL-A- 7406539 SE-A- 7700925		05-08-75 29-08-78 24-01-78 19-06-79 29-10-76 05-12-74 23-06-77 07-03-75 27-08-76 04-05-77 04-05-77 19-11-74 28-01-77	
US-A-5353331	04-10-94	WO-A- 9318606 US-A- 5469496 US-A- 5579379 US-A- 5506887		16-09-93 21-11-95 26-11-96 09-04-96	

THIS PAGE BLANK (USPTO)